# Predicting the morphology of ice particles in deep convection using the super-droplet method

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#### Outline

- Summary of SS et al., GMD (2020), <u>10.5194/gmd-13-4107-2020</u>. Super-Droplet Method (SDM) is applied to mixed-phase clouds. Ice morphologies are explicitly predicted.
- 2D LES of a cumulonimbus is conducted for performance evaluation **Contents** 
  - 0. Super-Droplet Method (SDM)
  - 1. Cloud Microphysics of Mixed-Phase Clouds
  - 2. Strategy to Apply the SDM
  - 3. State Variables of Mixed-Phase Clouds
  - 4. Time Evolution Equations of Mixed-Phase Clouds
  - 5. Numerical Schemes and Implementation of SCALE-SDM
  - 6. Design of Numerical Experiments for Model Evaluation: 2-D Simulation of an Isolated Cumulonimbus
  - 7. Result of the CTRL case
  - 8. Numerical Convergence Characteristics
  - 9. Possible Sophistication of the Model

# 0. SDM (SS et al. 2009)

A particle-based scheme for cloud micropyhsics Super-Droplet represents multiple

number of real particles



**Original Monte Carlo scheme for coalescence** 

**Suitable for detailed cloud microphysics** 

Applicable if particles collide and coalesce repeatedly



Star formation, Spray combustion, bubbles, volcanic fumes, population dynamics etc.

Protoplanetary disk (imaginary. from NASA HP)

### Particle representation in SDM, bin, and bulk



SDM could resolve various issues of bin and bulk (Grabowski et al., 2019)

In bulk models, only the statistical properties (mass, number, etc.) of the particle size dist. are calculated. For what can we use SDM and other particle-based models? Warm clouds: Many studies Cumulus, cumulus congestus, stratocumulus, fog, etc. Aerosol processing and aqueous/surface chemistry Jaruga and Pawlowska (2018) reproduced the formation of Hoppel gap from the first princple Ice-/mixed-phase clouds Sölch and Kärcher (2010), Brdar and Seifert (2018) We developed a model that explicitly predict the morphology of ice particles (SS et al., GMD, 2020) Supersaturation fluctuation by SGS turbulence By adding 4 new attributes (S',U',V',W') (Grabowski and Abade 2017, Abade et al. 2018) By introducing Linear Eddy Model (Hoffman et al., 2018)

# **1. Cloud Microphysics of Mixed-Phase Clouds**

#### **Various ice nucleation pathways**



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Various other processes Freezing Melting Deposition/sublimation Riming (ice-droplet) Aggregation (ice-ice) Breakup etc.



#### **Diverse morphology of ice particles**

かくすい

角錐

ほうだん

砲弾型

針の 組み合わせ

針のたば

針

http://www.mrijma.go.jp/Dep/fo/fo3/araki/snowc rystals.html#sample photo by K. Araki



角柱の

組み合わせ



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角柱

砲弾の組み合わせ

2. Strategy to Apply the SDM

**Representation of ice particles** 

Approximate each ice particle by a porous spheroid (Chen and Lamb 1994, Misumi et al. 2010, Jensen and Harrington



**Freezing temperature attribute, based on INAS theory** Can account for homogeneous, and condensation/immersion freezing

**Cloud** microphysics processes considered Advection and sedimentation of particles Terminal velocity of droplets **Terminal velocity of ice particles** Condensation/evaporation (including CCN act./deact.) Ice particle formation (homogeneous, and condensation/immersion freezing) **Melting Deposition/sublimation** Droplet-droplet collision-coalescence **Droplet-ice collision-riming Ice-ice collision-aggregation** (Breakup (collisional/spontaneous)) → **Important but not yet** (Collisional/spontaneous breakup of droplets) (Collisional fragmentation of ice particles) (Rime splintering) (Shedding of water droplets from partly melted ice particles) $_{10/90}$ 

## **3. State Variables of Mixed-Phase Clouds**

# **3.1. State Variables for Cloud Micropyhsics**

#### **Real particles**

"Particles" represent aerosol/cloud/precipitation particles x(t): position of a particle

 $a(t) = \{a^{(1)}(t), a^{(2)}(t), \dots, a^{(d)}(t)\}$ : state of a particle, which is composed of *d* number of variables, called attributes.

 $N_r^{\text{wp}}$ : total num of particles accumulated over the whole period

Then, the state of the cloud microphysical system is determined by

 $\{\{\boldsymbol{x}_{i}(t), \boldsymbol{a}_{i}(t)\}, i = 1, 2, \dots, N_{r}^{wp}\}$ 

#### **Particle attributes for mixed-phase clouds**

Mass of soluble substances:  $m_{\alpha}^{sol}$ ,  $\alpha=1, 2, ..., N^{sol}$ Mass of insoluble substances:  $m_{\beta}^{\text{ins}}$ ,  $\beta=1, 2, ..., N^{\text{ins}}$ Volume equivalent radius of a droplet: r **Equatorial radius of an ice particle:** *a* **Polar radius of an ice particle:** c Apparent density of an ice particle:  $\rho^i$ **Freezing temperature of a particle:** *T*<sup>fz</sup> **Rime mass:** *m*<sub>rime</sub> (**Just for analysis. Not for time evolution**) Number of monomers (primary ice crystal):  $n_{mono}$  (Just for analysis)

Velocity: *v* (Approximated by theterminal velocity)

**Prognostic attributes:** {r, { $m_{\alpha}^{sol}$ },  $T^{fz}$ , a, c,  $\rho^{i}$ }

# **3.2. State Variables for Moist Air Fluid Dynamics**

## **Field variables for moist air**

U=(U,V,W): wind velocity

 $\rho$ ,  $\rho_d$ ,  $\rho_v$ : density of moist air, dry air, and vapor;  $\rho := \rho_d + \rho_v$  $q_v$ : specific humidity;  $q_v := \rho_v / \rho$ 

 $q_d$ : mass of dry air per unit mass of moist air;  $q_d := \rho_d / \rho$ *T*: temperature

P: pressure

 $\theta$ : potential temperature of moist air;  $\theta := T/\Pi := T/(P/P_0)^{R/c_p}$ . Here,  $P_0=1000$ hPa;  $R_d$ ,  $R_v$ , and  $R := q_d R_d + q_v R_v$  are the gas constants of dry air, water vapor, and moist air; and  $c_{pd}$ ,  $c_{pv}$ , and  $c_p := q_d c_d + q_v c_v$  are the isobaric specific heats of dry air, water vapor, and moist air.

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To simplify notation,  $\boldsymbol{G} = \{\boldsymbol{U}, \rho, q_v, \theta, P, T\}.$ 

#### **Prognostic variables for moist air**

We solve the time evolution equations of the following, conservative variables:  $\rho$ ,  $\rho q_v$ ,  $\rho U$ ,  $\rho \theta$ 

# 4. Time Evolution Equation for Mixed-Phase Clouds

# 4.1. Kinetic Description of Cloud Microphysics

(Real particles)

 $\{\{\boldsymbol{x}_{i}(t), \boldsymbol{a}_{i}(t)\}, i = 1, 2, \dots, N_{r}^{wp}\}$ 

## (Two types of dynamics)

In this section, we introduce the generic form of the time evolution equations of particles with stochastic coalescence There are two types of dynamics

- **Individual dynamics**
- Stochastic collision-coalescence, -riming, and aggregation

(Spontaneous/collisional breakup is not considered in this study, but important for clouds) <sup>15/90</sup>

## 4.1.1. Advection and Sedimentation of Particles

Motion equation

where 
$$F_i^{drg}$$
 is the drag force from moist air.  
 $\frac{d}{dt}(m_i \boldsymbol{v}_i) = F_i^{drg} - m_i g \hat{\boldsymbol{z}}, \quad \frac{d\boldsymbol{x}_i}{dt} = \boldsymbol{v}_i,$ 

If terminal velocity is reached, the motion eq. becomes,

$$oldsymbol{v}_i = oldsymbol{U}_i - \hat{oldsymbol{z}} v_i^\infty, \quad rac{a oldsymbol{x}_i}{dt} = oldsymbol{v}_i,$$

where  $U_i := U(x_i)$  is the ambient wind velocity, and  $v^{\infty}$  is the terminal velocity, which is a function of attributes  $a_i$  and the state of the ambient air  $G_i$ .

#### **Relaxation time**

A few seconds for millimeter-sized droplets (e.g., Fig. 3 of Wang and Pruppacher, 1977)

10<sup>-5</sup>s for micrometer-sized droplets (e.g., Chen et al., 20168)

# **4.1.2. Droplet Terminal Velocity**

# Beard's formula (1976) for droplets (with a limiter)

 $v_i^{\infty} = v_{\text{Beard}}^{\infty}(\min(r_i, 3.5\mu\text{m}); \rho_i, P_i, T_i).$ 

Original formula is not applicable if *r*>3.5mm This can happen because breakup is not considered



TABLE 1. Formulas for calculating the terminal velocity of cloud drops and raindrops in 3 size ranges.

1.  $0.5 \,\mu m \leq d_0 \leq 19 \,\mu m$  $V_m = C_1 C_{sc} d_0^2$  $C_1 = \Delta \rho g / (18\eta), C_{sc} = 1 + 2.51 l/d_0$  $l = l_0(\eta/\eta_0) (p_0/p) (T/T_0)^{\frac{1}{2}}$  $l_0 = 6.62 \times 10^{-6}$  cm,  $p_0 = 1013.25$  mb  $\eta_0 = 0.0001818 \text{ g cm}^{-1} \text{ s}^{-1}, T_0 = 293.15 \text{ K}$ 2. 19  $\mu m \leq d_0 \leq 1.07 \text{ mm}$ 3. 1.07 mm  $\leq d_0 \leq 7$  mm  $V_{\rm m} = \eta N_{\rm Re}/(\rho d_0)$  $V_{\rm m} = \eta N_{\rm Re}/(\rho d_0)$  $N_{\rm Re} = C_{\rm sc} \exp(Y)$  $N_{\rm Re} = N_{\rm P}^{1/6} \exp(Y)$  $N_{\rm P} = \sigma^3 \rho^2 / (n^4 \Delta \rho \varrho)$  $Y = b_0 + b_1 X + \dots + b_6 X^6$  $Y = b_0 + b_1 X + \cdots + b_5 X^5$  $b_0 = -0.318657E + 1$  $b_0 = -0.500015E + 1$  $\cdot = +0.992696$  $\cdot = +0.523778E + 1$  $\cdot = -0.153193E - 2$  $\cdot = -0.204914E + 1$  $\cdot = -0.987059E - 3$  $\cdot = +0.475294$  $\cdot = -0.578878E - 3$  $\cdot = -0.542819E - 1$  $\cdot = +0.855176E - 4$  $b_{\rm e} = +0.238449 \mathrm{E} - 2$  $b_6 = -0.327815E - 5$  $X = \log_{e}(N_{Da})$  $X \approx \log_{e}(\mathrm{Bo}N_{\mathrm{P}}^{1/6})$  $N_{\rm Da} = C_2 d_0^3$  $Bo = C_3 d_0^2$  $C_3 = 4\Delta \rho g / 3\sigma 17/90$  $C_2 = 4\rho \Delta \rho g / (3\eta^2)$ 

## **4.1.3. Ice Particle Terminal Velocity**

# How to evaluate $A_{ij}$ the actual area size of particle *i* perpendicular to the fall direction

Let us assume  $A_i$  has the following form  $A_i = \pi a_i \max(a_i, c_i) \left(\frac{\rho_i^i / \rho_{\text{true}}^i}{1 - \text{porosity}}\right)^{\kappa(\phi_i)}.$ Let us estimate  $k(\varphi_i)$ . 1 - porosityFor columnar ice crystals ( $\phi_i >> 1$ ),  $m_i \propto D_i^{\beta}, A_i \propto D_i, V_i \propto D_i, \rho_i^{i} = m_i / V_i \propto D_i^{\beta-1}.$ Because of the above relation,  $D_i = D_i D_i^{(\beta-1)k}$ . Therefore  $k(\varphi_i)=0$ , if  $\varphi >>1$ . For platelike or dendritic ice crystals ( $\phi_i << 1$ ),  $m_i \propto D_i^{\beta}, A_i \propto D_i^{\beta}, V_i \propto D_i^{2}, \rho_i^{i} = m_i / V_i \propto D_i^{\beta-2}.$ Then,  $D_i^{\beta} = D_i^2 D_i^{(\beta-2)k}$ . Thus,  $k(\varphi_i) = 1$ , if  $\varphi_i << 1.18/90$  ... How to evaluate  $A_i$ 

... Let us estimate  $k(\varphi_i)$ 

For spherical ice particles ( $\phi_i \cong 1$ ),

 $m_i \propto D_i^{\beta}, A_i \propto D_i^{\beta/S}, V_i \propto D_i^{\beta}, \rho_i^{i} = m_i / V_i \propto D_i^{\beta-3}.$ Then,  $D_i^{\beta/S} = D_i^2 D_i^{(\beta-3)k}$ . Therefore,  $k = (2S - \beta)/(3 - \beta)/S$ 

Snow aggregates of CRYSTAL-FACE obey  $\beta$ =2.22,

S=1.3 (Schmitt and Heymsfield (2010))

Therefore, *k*=0.375.

Snow aggregates of ARM (composed of columnar ice) obey  $\beta$ =2.20, S=1.25 (Schmitt and Heymsfield (2010))

Therefore, k=0.300.

Graupels/hails have higher  $\rho_i^{i}$ . So, they should be less sensitive to the choice of *k*.

... How to evaluate A

... Let us estimate  $k(\varphi)$ 

All in all, let us estimate the functional form of k as

$$\kappa(\phi_i) = \exp(-\phi_i). \qquad 1-\text{porosity}$$

A can be evaluated by  $A_i = \pi a_i \max(a_i, c_i) \left( \rho_i^i / \rho_{true}^i \right)^{\kappa}$ 



#### ... How to evaluate A

Jensen and Harrington (2015) propose  $A = \pi a \max(a, c)\xi$   $\xi = \begin{cases} (1 - \phi) (\rho^{i} / \rho_{ice}) + \phi, & \text{for plates (oblate)} \\ 1, & \text{for columns (prolate)} \end{cases}$ 

> Looks reasonable for lightly rimed particles, but **would be not appropriate for graupels, hails, snow aggregates**

Ice particle terminal velocity formula of Böhm (1989, 1992, 1999) Applicable for *Re*<5x10<sup>5</sup>

$$v^{\infty} = v^{\infty}_{\text{B\"ohm}}(m_i, \phi_i, d_i, q_i; \rho_i, T_i)$$

Here,  $q_i = A_i / (\pi a_i \max(a_i, c_i)), d_i = 2a_i$ .

Because  $q_i \leq 1$  holds, the formula can be summarized as follows. The Davies or Best number

$$X = \frac{8m_i g\rho_i}{\pi \mu^2 \max{(\phi_i, 1)q_i^{1/4}}},$$

Turbulence correction

$$X' = X \frac{1 + (X/X_0)^2}{1 + 1.6(X/X_0)^2},$$

Here,  $X_0 = 2.8 \times 10^6$  for ice particles

Viscous shape factor

 $k = \min\left\{\max\left(0.82 + 0.18\phi_i, 0.85\right), \left(0.37 + \frac{0.63}{\sqrt{\phi_i}}\right), \frac{1.33}{\max\left(\log\phi_i, 0\right) + 2\frac{1}{2}\frac{999}{9}}\right\},\$ 

... Ice particle terminal velocity formula of Böhm ... Summary of the formula Pressure drag coefficient  $C_{\rm DP} = \max\left(0.292k\Gamma, 0.492 - 0.200/\sqrt{\phi_i}\right),$ where  $\Gamma = \max \{1, \min (1.98, 3.76 - 8.41\phi_i + 9.18\phi_i^2 - 3.53\phi_i^3)\}.$ Oseen drag coefficient

 $C_{\rm DO} = 4.5k^2 \max{(\phi_i, 1)}.$ 

Auxiliary parameters

$$\beta = \left[1 + \frac{C_{\rm DP}}{6k} \left(\frac{X'}{C_{\rm DP}}\right)^{1/2}\right]^{1/2} - 1,$$
$$\gamma = \frac{C_{\rm DO} - C_{\rm DP}}{4C_{\rm DP}}.$$

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... Ice particle terminal velocity formula of Böhm ... Summary of the formula

Reynolds number

$$N_{\rm Re} = \frac{6k}{C_{\rm DP}} \beta^2 \left[ 1 + \frac{2\beta e^{-\beta\gamma}}{(2+\beta)(1+\beta)} \right].$$

Here, [] is a matching to low Reynolds number Finally, terminal velocity can be obtained by  $v_{\text{B\"ohm}}^{\infty} = \frac{\mu N_{\text{Re}}}{\rho d_i}.$  ... Ice particle terminal velocity formula of Böhm Remark

> Brdar and Seifert (2018) is using Khvorostyanov and Curry (2005) (based on Böhm (1989, 1992, 1999)??)Maybe we need to check the difference

Heymsfield and Westbrook (2010) looks simple and accurate, **but only for** *Re*<1000.

## 4.1.4. Immersion/condensation and homogeneous freezing

These are the dominant mode in mixed-phase clouds

We assume that each particle has its own freezing temperature  $T_i^{fz}$ , and the time dependence is ignored. ("singular hypothesis", e.g. Levine (1950))

Droplet *i* freezes immediately when all these conditions are met:

- (1)  $e_i > e_s^{w}(T_i)$ : ambient water vapor is supersaturated over liquid water; and,
- (2)  $T_i < T_i^{fz}$ : ambient temperature is lower than the freezing temperature
- $T_i^{\text{fz}}$  can be determined by INAS (Ice Nucleation Active Site) density of the insoluble component
- If no INAS appears until  $-38^{\circ}$ C, we set  $T_i^{fz} = -38^{\circ}$ C (homogeneous freezing)

When a coalescence occurs, we assume that the new  $T^{\text{fz}}$  is given by  $\max(T_j^{\text{fz}}, T_k^{\text{fz}})$ , i.e., the higher of the two. 26/90

## **INAS density of Niemand et al. (2012)**

A parameterization of INAS of mineral dust particles for condensation/immersion freezing mode.

$$\begin{split} & \text{From Eq.(5) of their paper, for } -12^{\circ}\text{C} > T \geqq -36^{\circ}\text{C} \\ & n_s(T) = 1\text{m}^{-2}\exp[-0.517\text{K}^{-1}(T-T_0) + 8.934] \\ & =: a_0\exp[-a_1(T-T_0) + a_2]. \\ & \text{Based on the discussion of Niedermeier (2015), let's assume} \\ & n_s(T) \begin{cases} 0, & T \ge -12^{\circ}\text{C}, \\ n_s(-36^{\circ}\text{C}), & -36^{\circ}\text{C} > T \ge -38^{\circ}\text{C}. \end{cases} \end{split}$$

#### **Freezing temperature and INAS**

Consider a mineral dust with a surface area of A

Then, the probability that the freezing temperature  $T^{(f)}$  is larger than *T* becomes,

 $P(T^{(f)} \ge T) = 1 - e^{-\Lambda(T)}, \ \Lambda(T) = An_s(T).$ 

Then, the probability density becomes  $p(T) = -dP(T(f) \ge T)/dT$   $= a_1An_s(T)e^{-An_s(T)}.$ 

Freezing temperature distribution of mineral dust based on Niemand et al. (2012)'s formula





When  $T_i > 0^{\circ}$ C, we consider that melting occurs immediately.

# 4.1.6. Condensation and Evaporation

#### **Overview of the phenomenon**

When supersaturated, water vapor condensates to dropletsWhen unsaturated, water vapor evaporates from dropletsHere, the effective saturation vapor pressure is affected by the curvature effect and dissolution effect of aerosols

### Equation of growth by condensation/evaporation

If the diffusion of vapor around the droplet is quasi-steady,

$$\frac{dm_i}{dt} = 4\pi r_i D_{\rm v}(\rho_{\rm vi} - \rho_{\rm vi}^{\rm sfc}).$$

Here,  $D_v$  is water vapor's diffusivity in air,  $\rho_{vi} := \rho_v(x_i)$  is the ambient water vapor density, and  $\rho_{vi}^{sfc}$  is the water vapor density at the surface of the droplet. ... Equation of growth by condensation/evaporation

However,  $\rho_{vi}^{sfc}$  is unknown bcz condensation/evaporation changes the droplet temperature

If we further assume that thermal diffusion is also quasisteady, and that the surface temperature  $T_i^{\text{sfc}}$  and ambient temperature  $T_i$  are close to each other, the eq reduces to

$$r_{i}\frac{dr_{i}}{dt} = \frac{1}{\rho^{w}(F_{k}^{w} + F_{d}^{w})} \left\{ S_{i}^{w} - \frac{e_{si}^{w,en}(T_{i})}{e_{s}^{w}(T_{i})} \right\},$$

where  $S_{i}^{w} := e_{i}/e_{s}^{w}(T_{i})$  is the ambient saturation ratio over liquid water,  $F_{k}^{w} = \left(\frac{L_{v}}{R_{v}T_{i}} - 1\right) \frac{L_{v}}{kT_{i}}, \quad F_{d}^{w} = \frac{R_{v}T_{i}}{D_{v}e_{s}^{w}(T_{i})},$ 

 $L_v$  is the latent heat of vaporization, k is the thermal conductivity of moist air, and  $e_{si}^{w,eff}$  is the effective saturation vapor pressure regarding droplet's surface. 31/90

#### ... Equation of growth by condensation/evaporation

Köhler curve (1936) gives an approximate formula of  $e_{si}^{w,eff}$ 

$$\frac{e_{\mathrm{s}i}^{\mathrm{w,eff}}(T_i)}{e_{\mathrm{s}}^{\mathrm{w}}(T_i)} = 1 + \frac{a(T_i)}{r_i} - \frac{b\left(\left\{m_{\alpha i}^{\mathrm{sol}}\right\}\right)}{r_i^3}$$

where  $a \approx 3.3 \times 10^{-5} \text{ cm K}/T_i$ ,  $b \approx 4.3 \text{ cm}^3 \sum_{\alpha} I_{\alpha} m_{\alpha i}^{\text{sol}} / M_{\alpha}^{\text{sol}}$ ,  $I_{\alpha}$  is the van't Hoff factor, which represents the degree of ionic dissociation, and  $M_{\alpha}^{\text{sol}}$  is the molecular weight of the solute  $\alpha$ .

The second and third terms of Köhler curve account for the curvature and solute effects, respectively.

#### **Shape of Köhler curves**

# Köhler curve for a droplet containing ammonium sulfate $10^{-16}$ g at 293K is



Cloud droplets won't be created until supersaturation exceeds the critical value. When unsaturated, droplet becomes very small but does not vanish. <sup>33/90</sup>

# **4.1.7. Deposition and Sublimation**

## **Primary/Secondary growth habit**

Strong dependence on temperature (primary habit), and, to a lesser extent, on supersaturation (secondary habit).

We use the model of Chen and Lamb (1994) with various

modifications



Variant of Nakaya-Kobayashi-Furukawa diagram (Fig. 2 of Libbrecht, 2005)

#### Time evolution eqs. of deposition/sublimation

Mass growth eq.

$$\frac{dm_i}{dt} = 4\pi C D_{\rm v} (\rho_{\rm vi} - \rho_{\rm vi}^{\rm sfc}) \bar{f}_{\rm vnt} = 4\pi C \frac{S_i^{\rm i} - 1}{F_{\rm k}^{\rm i} + F_{\rm d}^{\rm i}} \bar{f}_{\rm vnt}.$$

Here,  $S_i^i := e_i / e_s^i(T_i)$  is the ambient saturation ratio over ice,

$$F_{\mathbf{k}}^{\mathbf{i}} = \left(\frac{L_{\mathbf{s}}}{R_{\mathbf{v}}T_{i}} - 1\right) \frac{L_{\mathbf{s}}}{kT_{i}}, \quad F_{\mathbf{d}}^{\mathbf{i}} = \frac{R_{\mathbf{v}}T_{i}}{D_{\mathbf{v}}e_{\mathbf{s}}^{\mathbf{i}}(T_{i})},$$

*C* is the electric capacitance of ice, which we approximate by the capacitance of the spheroid  $C(a_i,c_i)$ .

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 $\bar{f}_{\rm vnt} = b_1 + b_2 X^{\gamma}, \quad X = N_{\rm Sc}^{1/3} (N_{\rm Rei}^{\rm i})^{1/2}$ , is the particle-averaged ventilation coefficient.

We impose a limiter to  $dm_i$  and prohibit complete sublimation (a crude model of pre-activation)  $dm_i = \max(dm_i, m_{\min}^i - m_i).$ 

#### ... Time evolution eqs. of deposition/sublimation

Axis growth ratio (primary habit)

$$\frac{dc_i}{da_i} = \Gamma(T_i) f_{\text{vnt}} \frac{c_i}{a_i} =: \Gamma^* \frac{c_i}{a_i}.$$

Inherent growth ratio  $\Gamma(T)$  represents the lateral redistribution of vapor on ice surface through kinetic processes.

For deposition  $(dm_i>0)$ , we use the empirical form of Chen and Lamb (1994) (next page), but set

 $\Gamma(T) = 1$  for  $D < 10 \,\mu\text{m}$ ,

 $\Gamma(T) = \Gamma(-30 \,^{\circ}\text{C}) \approx 1.28, \text{ for } T < -30 \,^{\circ}\text{C}.$ 

For sublimation,

 $\Gamma(T_i) = 1$ , for  $dm_i < 0$  (sublimation),
#### ... Time evolution eqs. of deposition/sublimation

... Axis growth ratio (primary habit)

Inherent growth ratio  $\Gamma(T)$  of Chen and Lamb (1994)



FIG. 3. Comparisons between the experimental and observational values of the inherent growth ratio. The thick line is from Lamb and Scott (1972); dotted line is from Sei and Gonda (1989) with actual data points denoted as filled squares. The shaded ellipses 1 to 8 are from Ono (1970), 9 to 14 from Auer and Veal (1970), 15 from Heymsfield and Knollenberg (1972), and 16 from Jayaweera and Ohtake (1974). The thin solid line is the best-fit values proposed in this study.

#### Adopted from Chen and Lamb (1994)

... Time evolution eqs. of deposition/sublimation

... Axis growth ratio (primary habit)

 $f_{\rm vnt}$  is the ventilation effect for primary growth habit. Chen and Lamb (1994) derived

$$f_{\rm vnt} = \frac{b_1 + b_2 X^{\gamma} (c_i/C)^{1/2}}{b_1 + b_2 X^{\gamma} (a_i/C)^{1/2}}.$$

To prohibit the creation of too slender ice particle, we also impose a limiter to  $\Gamma^*$ 

$$\Gamma^* = \Gamma f_{\text{vnt}} = 1 \quad \text{for } dm_i \ge 0 \land \phi_i > 40.$$

Change in particle volume (secondary habit)

For deposition, 
$$dV_i = \frac{dm_i}{\rho_{dep}}$$
,  

$$\rho_{dep} = \begin{cases} \rho_{true}^i, & \text{for } \Gamma(T_i) < 1 \land a_i < 100 \,\mu\text{m}; \\ \rho_{dep}^{\text{CL94}}, & \text{otherwise.} \end{cases}$$
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#### ... Time evolution eqs. of deposition/sublimation

... Change in particle volume (secondary habit)

$$\rho_{\rm dep}^{\rm CL94} = \rho_{\rm true}^{\rm i} \exp\left[-\frac{3\max((\Delta\rho_i)^{\downarrow} - 0.05\,{\rm g\,m^{-3}}, 0)}{\Gamma(T_i)\,{\rm g\,m^{-3}}}\right] (\Delta\rho_i)^{\downarrow} = \frac{\min(S_i^{\rm i}, e_{\rm s}^{\rm w}(T_i)/e_{\rm s}^{\rm i}(T_i)) - 1}{D_{\rm v}(F_{\rm k}^{\rm i} + F_{\rm d}^{\rm i})}.$$

For sublimation, 
$$dV_i = \frac{dm_i}{\rho_{\rm sbl}}, \quad \rho_{\rm sbl} = \rho_i^{\rm i}.$$

Above model could create a very small planar/columnar ice through sublimation. We reset it to spherical if the minor axis becomes  $<1\mu m$ .

Change of rime mass fraction

$$m_{i}^{\text{rime}}(t+dt) = \begin{cases} m_{i}^{\text{rime}}, & \text{for } dm_{i} \ge 0; \\ m_{i}^{\text{rime}} \frac{m_{i} + dm_{i}}{m_{i}}, & \text{for } dm_{i} < 0. \end{cases}$$

#### 4.1.8. Stochastic Description of Coalescence/Riming/Aggregation

Assuming that the particles are well mixed by turbulence, we regard coalescence/riming/aggregation is a stochastic event

$$P_{jk} = K(\boldsymbol{a}_j, \boldsymbol{a}_k; \boldsymbol{G}) \frac{dt}{\Delta V},$$

= probability that particles j and k inside a small region  $\Delta V$  will collide and coalesce in a short time interval (t, t + dt).

All the pairs (j,k) inside  $\Delta V$  have a possibility to coalesce  $P_{jk} \propto dt$  because Poisson process is assumed  $P_{jk} \propto 1/\Delta V$  because particles are well mixed. (If  $\Delta V \rightarrow 2\Delta V$ , collision candidates for *j* will be doubled, hence,  $P_{jk} \rightarrow P_{jk}/2$ ) For riming and aggregation, we also need outcome model.

# 4.1.9. Coalescence Between Two Droplets

# **Coalescence of particles by the gravitational settling**

Bigger particles sweep smaller particles because of the difference of their terminal velocities

# **Collision-coalescence** probability

Consider two droplets *j* and *k* in a volume  $\Delta V$ 

- 2 particles sweep the volume  $\pi (R_j + R_k)^2 |v_j v_k| dt$ during a small time interval (t, t+dt)
- If  $\Delta V$  is small enough, particles are well mixed

by the atmospheric turbulence

Thus, the probability that the coalescence occurs is the ratio of sweep volume and  $\Delta V$ :

$$P_{jk} = \pi (r_j + r_k)^2 |v_j^{\infty} - v_k^{\infty}| dt / \Delta V$$



... Collision-coalescence probability

#### However, this evaluation is not accurate enough.

- Small droplet could swept aside, or bounce.
- A droplet could collide on the downstream side of a similarly sized droplet. (known as wake capture)





collision and bounce of small droplet (35µm in radius) and large droplet (1.75mm in radius). (adapted from Whelpdale and List, 1971)

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swept aside along the flow

bounce on the surface

Collection efficiency  $E_{\text{coal}}(r_j, r_k)$  considers these effects  $P_{jk} = E_{\text{coal}}(r_j, r_k)\pi(r_j + r_k)^2 |v_j^{\infty} - v_k^{\infty}|dt/\Delta V$ 

#### ... Collision-coalescence probability

Contour plot of  $P_{ik}$  as a function of  $R_i$  and  $R_k$ 

 $E_{\text{coal}}$  in Seeßelberg et al. (1996), which is a compilation of Davis(1972), Jonas(1972), Hall(1980), Lin and Lee

(1975).  $\Delta V = 1 \text{ cm}^3$ , 1000 [....]  $\Delta t = 1$ s, 101.3kPa, 20°C.  $10^{-1}$ Same size droplets won't Droplet Radius  $R_k$  [ $\mu$ m]  $10^{-2}$ 100 coalesce  $10^{-3}$ Small droplets seldom  $10^{-6}$  $10^{-1}$ 10 $10^{-2}$ coalesce 10  $10^{-8}$  $10^{-3}$ Droplets of  $r > 15 \mu m$ 10<sup>-7</sup> 0<sup>-6</sup>  $10^{-9}$ ' 10<sup>-8</sup> 10<sup>-10</sup> are necessary for rain (10<sup>-10</sup>)10<sup>-9</sup> initiation 10100 1000 43/90Droplet Radius  $R_i$  [µm]

# 4.1.10. Riming Between an Ice Particle and a Droplet

Not only the collection of small droplets by a large ice, but also the collection of small ice by a large droplets.

#### **Collision-riming kernel**

Consider an ice particle *j* and a droplet *k* in a volume  $\Delta V$ Collision-riming kernel:  $K_{\text{rime}} = E_{\text{rime}} A_{\text{g}} |v_j^{\infty} - v_k^{\infty}|$ . We approximate the geometric cross sectional area  $A_{\text{g}}$  by  $A_{\text{g}} = \pi (a_j + r_k) \{ \max(a_j, c_j) + r_k \} - (A_j^{\text{ce}} - A_j).$ 

For the collision-riming collection efficiency  $E_{\text{rime}}$ , we combine the formulas of Beard and Grover (1974) and Erfani and Mitchell (2017).

If  $v_j^{\infty} < v_k^{\infty}$ , droplet k is the collector and adopt BG74:  $E_{\text{rime}} = E_{\text{BG74}}(p^{i/w}, N_{\text{Re}k}^w, N_{\text{St}}^{i/w}), \ p^{i/w} := r_j^i/r_{k_{44/90}}$  ... Collision-riming kernel ... collection efficiency  $E_{\rm rime}$ If  $v_i^{\infty} \ge v_k^{\infty}$ , ice *j* is the collector For spherical ice, use  $E_{BG74}$  again but  $N_{St}^{1/W} \rightarrow N_{mFr}$ . For columnar and planar ice,  $E_{\rm EM17}^{\rm clm}$  and  $E_{\rm FM17}^{\rm pln}$ . For intermediate case, the above are combined by the weight of aspect ratio  $\phi_i$ : For  $\phi_j < 1$  (planar)  $E_{\text{rime}} = \phi_j E_{\text{BG74}}(p^{\text{w/i}}, N_{\text{Re}j}^i, N_{\text{mFr}})$ For  $\phi_j \ge 1$  (columnar)  $+ (1 - \phi_j) E_{\text{EM17}}^{\text{pln}}(N_{\text{Re}j}^i, N_{\text{mFr}})$ .  $E_{\text{rime}} = \frac{1}{\phi_i} E_{\text{BG74}}(p^{\text{w/i}}, N_{\text{Re}j}^{\text{i}}, N_{\text{mFr}})$  $+\left(1-\frac{1}{\phi_i}\right)E_{\mathrm{EM17}}^{\mathrm{clm}}(N_{\mathrm{Re}j}^{\mathrm{clm}},N_{\mathrm{mFr}}).$ Here,  $p^{w/i} := 1/p^{i/w} = r_k/r_i^i$ 45/90

**Outcome of riming:**  $(a_j, c_j, \rho_j^i) + r_k \rightarrow (a_j^{\prime}, c_j^{\prime}, \rho_j^i)$ 

If  $r_k > \max(a_j, c_j)$ , we assume the resultant ice is spherical,  $a_j' = c_j'$ , with the true ice density  $\rho_j' = \rho_{true}^i$ Otherwise,

for  $\phi_j \leq 0.8$  or  $1.25 < \phi_j$ , preserve the maximum dimension (filling-in simplification);

for  $0.8 < \phi_j \le 1.25$ , preserve the minor dimension (graupel tumbling);

if the frozen droplet is bigger than the ice, we preserve  $r_k (\rho^w / \rho_{rime})^{1/3}$ .

 $\rho_{rime}$  represents the frozen droplet's apparent density.

We use the formula of Heymsfield and Pflaum (1985) with some modifications:



# 4.1.11. Aggregation Between Two Ice Particles

## **Collision-aggregation kernel**

Collision-aggregation kernel:

$$K_{\text{agg}} = E_{\text{agg}} \left( A_j^{\frac{1}{2}} + A_k^{\frac{1}{2}} \right)^2 |v_j^{\infty} - v_k^{\infty}|,$$

Geometric cross section is evaluated by the projected area (Connolly et al., 2012)

 $E_{agg}$ =0.1 is assumed for collision-aggregation collection efficiency (Morrison and Grabowski, 2012; Field et al., 2006). Outcome of aggregation:  $(a_j, c_j, \rho^i_j) + (a_k, c_k, \rho^i_k) \rightarrow (a_j^{\prime}, c_j^{\prime}, \rho^i_j^{\prime})$ Existing procedures produce too light ice particles We developed an intuitive model to fix this issue We assume only the minor dimension grows If the volume weighted average density

 $\bar{\rho}_{jk}^{\mathbf{i}} = (m_j + m_k)/(V_j + V_k)$ 

is closer to the true density of ice  $\rho_{true}^{i}$ , we assume that two particles aggregate without changing their shape. If  $\overline{\rho}_{jk}^{i}$  is small (fluffy snow flakes), we assume that compaction of aggregate through restructuring occurs. We assume there is a limiting value of the apparent density,  $\rho_{crt}^{i} = 10 \text{ kg m}^{-3}$ . ... Outcome of aggregation:  $(a_j, c_j, \rho_j^i) + (a_k, c_k, \rho_k^i) \rightarrow (a_j^i, c_j^i, \rho_j^i)$ ... Our model

Assume 
$$D_j \ge D_k$$
  
We determine  $\rho_j^i$  by an interpolation  
 $\rho_j^{i\prime} = \frac{(\rho_{\text{true}}^i - \bar{\rho}_{jk}^i)\rho_{jk}^{i,\max} + (\bar{\rho}_{jk}^i - \rho_{\text{crt}}^i)\rho_{jk}^{i,\min}}{\rho_{\text{true}}^i - \rho_{\text{crt}}^i},$   
 $\rho_{jk}^i = \frac{\rho_{jk}^i}{\rho_{jk}^i}, \quad \rho_{jk}^i = \frac{m_j + m_k}{V_{\text{max}}}.$   
 $V_{\text{max}}$  is given as follows  
For  $\phi_j \le 1$  (collector is planar),  
 $V_{\text{max}} = (4\pi/3)a_j^2\{c_j + \min(a_k, c_k)\}.$   
For  $\phi_j > 1$  (collector is columnar),  
 $V_{\text{max}} = (4\pi/3)c_j\{a_j + \min(a_k, c_k)\} \max(a_j, a_k, c_k)$ 

# 4.2. Fluid Dynamics of Moist Air

# **Compressible Navier-Stokes eq. for moist air with coupling terms from particles**

$$\begin{split} \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \boldsymbol{U}) &= \frac{\partial \rho}{\partial t} \Big|_{\text{cm}}, \\ \frac{\partial \rho q_{\text{v}}}{\partial t} + \nabla \cdot (\rho q_{\text{v}} \boldsymbol{U}) &= \frac{\partial \rho q_{\text{v}}}{\partial t} \Big|_{\text{cm}} + D_{\text{v}} \nabla^{2} (\rho q_{\text{v}}), \\ \frac{\partial \rho \boldsymbol{U}}{\partial t} + \nabla \cdot (\rho \boldsymbol{U} \otimes \boldsymbol{U}) &= -\nabla P - \rho g \hat{\boldsymbol{z}} + \frac{\partial \rho \boldsymbol{U}}{\partial t} \Big|_{\text{cm}} + \mu \nabla^{2} \boldsymbol{U}, \\ \text{thermal coupling} \\ \frac{\partial \rho \theta}{\partial t} + \nabla \cdot (\rho \theta \boldsymbol{U}) &= \frac{\partial \rho \theta}{\partial t} \Big|_{\text{cm}} + \frac{k}{c_{\text{p}}} \nabla^{2} \theta, \\ P &= \rho RT = P_{0} \left( \frac{\rho \theta R}{P_{0}} \right)^{c_{\text{p}}/(c_{\text{p}} - R)}, \\ \end{split}$$

#### **Coupling terms from particles**

Mass coupling represents the source of vapor:

$$\begin{aligned} \left. \frac{\partial \rho}{\partial t} \right|_{cm} &= \left. \frac{\partial \rho q_{v}}{\partial t} \right|_{cm} = s_{v} + s_{s}, \\ s_{v}(x,t) &= -\sum_{i \in I_{i}(t)} \delta^{3}(x - x_{i}(t)) \left. \frac{dm_{i}}{dt} \right|_{cnd/evp}, \\ s_{s}(x,t) &= -\sum_{i \in I_{i}(t)} \delta^{3}(x - x_{i}(t)) \left. \frac{dm_{i}}{dt} \right|_{dep/sbl} \\ \end{aligned}$$
Thermal coupling represents heating due to the phase transition of water:  

$$\left. \left. \frac{\partial \rho \theta}{\partial t} \right|_{cm} &= -\frac{L_{v}s_{v} + L_{s}s_{s} + L_{f}s_{f}}{c_{p}\Pi}, \\ s_{f}(x,t) &= -\sum_{i \in I_{i}(t)} \delta^{3}(x - x_{i_{n}^{fx}}(t))\delta(t - t_{n}^{fx})m_{i_{n}^{fx}}(t) \\ &+ \sum_{freezing event n} \delta^{3}(x - x_{i_{n}^{mit}}(t))\delta(t - t_{n}^{mit})m_{i_{n}^{mit}}(t) \\ &- \sum_{riming event n} \delta^{3}(x - x_{i_{n}^{rime}}(t))\delta(t - t_{n}^{rime})m_{i_{n}^{rime}}(t). \end{aligned}$$

#### ... Coupling terms from particles

Momentum coupling is the drag force from the particles:

$$\begin{aligned} \frac{\partial \rho \boldsymbol{U}}{\partial t} \Big|_{\mathrm{cm}} &= -\sum_{i \in I_{\mathrm{r}}(t)} \delta^{3}(\boldsymbol{x} - \boldsymbol{x}_{i}(t)) \boldsymbol{F}_{i}^{\mathrm{drg}} \\ &\approx -\left[\sum_{i \in I_{\mathrm{r}}(t)} \delta^{3}(\boldsymbol{x} - \boldsymbol{x}_{i}(t)) m_{i}(t)\right] g \hat{\boldsymbol{z}}. \end{aligned}$$

**5. Numerical Schemes and Implementation of SCALE-SDM** 

We developed a numerical model SCALE-SDM to solve the time evolution equations of mixed-phase clouds.

# **5.1. Spatial Discretization of Moist Air**

Prognostic variables:  $\rho$ ,  $\rho q_v$ ,  $\rho U$ ,  $\rho \theta$ Arakawa-C staggered grid (Arakawa and Lamb, 1977) is used  $\rho$ ,  $\rho q_v$ ,  $\rho \theta$  are defined at the center of each grid cell, and  $\rho U$ are defined on the faces of each grid cell.

Hereafter, denoted by  $G_{lmn}$ 





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#### ... Spatial discretization of moist air



# **5.2. Super-Particles and Real Particles**

**Real particles:** 

$$\{\{ \boldsymbol{x}_{i}(t), \boldsymbol{a}_{i}(t) \},\ i = 1, 2, \dots, N_{r}^{wp} \}$$

**Super-particles (SPs)** 



Each SP has **multiplicity**  $\xi$ , position x, and attribute aEach SP represents  $\xi$  number of real particles (x,a) Population of real particles {( $x_i(t), a_i(t)$ ) $|i=1,2,...,N_r^{wp}$ } is

represented by the SP population.

 $\{\{\xi_i(t), \boldsymbol{x}_i(t), \boldsymbol{a}_i(t)\}, i = 1, 2, \dots, N_{\rm s}^{\rm wp}\}$ 

 $N_s^{\rm wp}$  is the num of SPs accumulated over all period SP can be regarded as a weighted sample of real particles Note that  $\xi$  is time dependent (Details later) 56/90

#### **Relationship between SPs and real particles**

Let n(a, x, t) be the particle distribution function. By definition, the following holds

$$n(\boldsymbol{a},\boldsymbol{x},t) = \left\langle \sum_{i \in I_{\mathbf{r}}(t)} \delta^{d}(\boldsymbol{a} - \boldsymbol{a}_{i}(t)) \delta^{3}(\boldsymbol{x} - \boldsymbol{x}_{i}(t)) \right\rangle.$$

SPs reproduce the behavior of real particles in expectation:

$$\begin{split} n(\boldsymbol{a}, \boldsymbol{x}, t) &= \left\langle \sum_{i \in I_{\mathrm{s}}(t)} \xi_{i}(t) \delta^{d}(\boldsymbol{a} - \boldsymbol{a}_{i}(t)) \delta^{3}(\boldsymbol{x} - \boldsymbol{x}_{i}(t)) \right\rangle \\ &= N_{\mathrm{s}}(t) \sum_{\xi=1}^{\infty} \xi p(\xi, \boldsymbol{a}, \boldsymbol{x}, t), \end{split}$$

where  $p(\xi, a, x, t)$  is the probability density of SPs,  $I_s(t)$  is the set of SP indices existing in the domain at time *t*, and  $N_s(t)$  is the number of super-particles existing at time  $t_{57/90}$ 

# **5.3. Initialization of Super-Particles**

# **Arbitrariness how to initialize SPs**

Assume that initial n(a, x, t=0) is given.

Any procedure that satisfies

$$n(a, x, 0) = N_{s}(0) \sum_{\xi=1}^{\infty} \xi p(\xi, a, x, 0)$$

can be used to initialize SPs.

# **Uniform sampling method**

Sample SPs uniform randomly from an interval. Then,

$$\xi(\boldsymbol{a}, \boldsymbol{x}) = rac{n(\boldsymbol{a}, \boldsymbol{x}, 0)}{N_{\mathrm{s}}(0)p}, \quad p(\boldsymbol{a}, \boldsymbol{x}) = p = \mathrm{const.}$$

(Constant multiplicity method) (not recommended) If we set  $\xi = N_r(0)/N_s(0) = \text{const.}$ , then  $p(a, x, 0) \propto n(a, x, 0)$ . (Discrepancy) (e.g., Niederreiter, 1978)

Discrepancy of a set  $P = \{x_1, ..., x_N\}$  is defined as

$$D_N(P) = \sup_{B \in J} \left| \frac{\#(B; P)}{N} - \lambda(B) \right|$$

In plain language, "largest empty rectangular region that does not contain any points"



*s* is the dimension. Grid should not be used for  $s \ge 3^{-59/90}$ 

# **5.4. Operator Splitting of the Time Integration**

#### Time integration of the system from *t* to $t+\Delta t$

#### We update each process separately

- Let  $\Delta t$  be the common time step. The figure shows how  $\{\{\xi_i, x_i, a_i\}\}$ and  $G_{lmn}$  are updated from time *t* to  $t+\Delta t$
- Let  $\Delta t_{adv}$ ,  $\Delta t_{fz/mlt}$ ,  $\Delta t_{cnd/evp}$ ,  $\Delta t_{dep/sbl}$ , and  $\Delta t_{collis}$  be the time steps for cloud microphysics processes.

#### Let $\Delta t_{dyn}$ be the time step for fluid dynamics.

ayn	t					$\rightarrow t + \Lambda t$
		-	1		1	
fluid dynamics	1	2	3	4	5	6
advection	7					
freezing/melting	8					
condensation/evaporation	9	12	13	15	17	19
deposition/sublimation	10		14		18	
collision-coalescence/ riming/aggregation	11				16	60/90

... Time integration of the system from *t* to  $t+\Delta t$ 

We first update  $G_{lmn}(t)$  to  $G'_{lmn}(t)$  but without coupling terms Then we update { { $\xi_i, x_i, a_i$ } } from *t* to  $t+\Delta t$ 

Processes lagging in time are calculated preferentially.

Simultaneously, we evaluate feedback from the particles to moist air, and update  $G'_{lmn}(t)$  to  $G_{lmn}(t+\Delta t)$ 

#### Remark

Based on Trotter's factorization formula. Global error is  $O(\Delta t)$ Employing higher order formula, accuracy can be improved

# **5.5. Time Integration of Cloud Microphysics**

### **Interpolation of fluid variables**

 $G_i := G(x_i)$ , the ambient air state around SP *i*, is often needed. For scalar variables ( $\rho$ ,  $\rho q_v$ ,  $\rho \theta$ ), we use the center-grid value. For wind velocities *U*, we interpolate them from face grid. (detail later)



#### **Motion of super-droplets**

#### **Basic equations**

$$\boldsymbol{v}_i = \boldsymbol{U}_i - \hat{\boldsymbol{z}} v_i^{\infty}, \quad \frac{d\boldsymbol{x}_i}{dt} = \boldsymbol{v}_i, \left. \frac{\partial 
ho \boldsymbol{U}}{\partial t} \right|_{\mathrm{cm}} \approx - \left[ \sum_{i \in I_{\mathrm{r}}(t)} \delta^3(\boldsymbol{x} - \boldsymbol{x}_i(t)) m_i(t) \right] g\hat{\boldsymbol{z}}$$

Numerical scheme

Predictor-corrector scheme + "simple linear interpolation" of wind velocity (Grabowski et al., 2018)

Г

In *x*-*z* 2D,  $U_{i} = \alpha U_{l+1/2,n} + (1 - \alpha) U_{l-1/2,n},$  $W_i = \gamma W_{l,n+1/2} + (1 - \gamma) W_{l,n-1/2}.$ This is for consistency of wind velocity divergence In short, this enables more accurate calculation of droplet num density



... Motion of super-droplets

... Numerical scheme

The reaction force from each super-droplet is imposed on the nearest  $(\rho W)_{lmn}$ 

Time step restriction

To accurately trace the flow of moist air,  $\Delta t_{adv}$  should be limited by the CFL condition of wind velocity.

#### **Freezing and melting**

#### **Basic** equations

Freezing occurs immediately when

(1)  $e_i < e_s^{w}(T_i)$ : supersaturated over liquid water; and (2)  $T_i < T_i^{fz}$ : colder than the freezing temperature. When  $T_i > 0^{\circ}$ C, melting occurs immediately.  $s_f(x, t) = -\sum_{n=1}^{\infty} \delta^3(x - x_{i_n^{fz}}(t))\delta(t - t_n^{fz})m_{i_n^{fz}}(t)$  freezing event n $+\sum_{n=1}^{\infty} \delta^3(x - x_{i_n^{mlt}}(t))\delta(t - t_n^{mlt})m_{i_n^{mlt}}(t)$ .

melting event n

Numerical scheme

 $\partial 
ho heta$ 

We evaluate the above every  $\Delta t_{\rm fz/mlt}$ 

Time step restriction

 $\Delta t_{\rm fz/mlt}$  has to satisfy the CFL condition of wind velocity

# **Condensation and evaporation**

#### **Basic** equations



... Condensation and evaporation

Numerical scheme

The activation/deactivation time scale is small.

To eliminate stiffness, we adopt the backward Euler scheme to the time evolution eq. of  $r^2$  (SS et al., 2009).

Feedback from each super-droplet is imposed on the grid cell where the super-droplet is located.

Time step restriction

The change of supersaturation through feedback is calculated explicitly.

Therefore,  $\Delta t_{\text{cnd/evp}}$  is restricted by the phase relaxation time (Squires, 1952),  $\tau_{\text{phase}} \propto 1/\sum \xi_i r_i$ Otherwise, numerical instability occurs (Árnason and Brown, 1971).

# **Deposition and sublimation**

# Basic equations $\frac{dm_{i}}{dt} = 4\pi C \frac{S_{i}^{i} - 1}{F_{k}^{i} + F_{d}^{i}} \bar{f}_{vnt}, \quad \frac{dc_{i}}{da_{i}} = \Gamma(T_{i}) f_{vnt} \frac{c_{i}}{a_{i}} =: \Gamma^{*} \frac{c_{i}}{a_{i}}, \quad dV_{i} = \frac{dm_{i}}{\rho_{dep}},$ $\frac{\partial \rho}{\partial t}\Big|_{cm} = \frac{\partial \rho q_{v}}{\partial t}\Big|_{cm} = s_{s}, \quad \frac{\partial \rho \theta}{\partial t}\Big|_{cm} = -\frac{L_{s}s_{s}}{c_{p}\Pi}, \quad s_{s}(x,t) = -\sum_{i \in I_{r}(t)} \delta^{3}(x - x_{i}(t)) \frac{dm_{i}}{dt}\Big|_{dep/sbl}.$ Numerical scheme

Not stiffness because curvature term is ignored We adopt the forward Euler scheme.

Feedback from each super-droplet is imposed on the grid cell where the super-droplet is located.

Time step restriction

 $\Delta t_{\rm dep/sbl}$  is also restricted by the phase relaxation time,

$$au_{
m phase} \propto 1/\sum \xi_i r_i$$

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# **Coalescence, riming, aggregation**

Stochastic collision-coalescence of real particles

$$P_{jk} = K(\boldsymbol{a}_j, \boldsymbol{a}_k; \boldsymbol{G}) dt / \Delta V$$

Riming/aggregation outcome model

 $\frac{\partial \rho \theta}{\partial t} \bigg|_{\text{cm}} = -\frac{L_{\text{f}} s_{\text{f}}}{c_{p} \Pi}, \quad s_{\text{f}}(\boldsymbol{x}, t) = -\sum_{\text{riming event } n} \delta^{3}(\boldsymbol{x} - \boldsymbol{x}_{i_{n}^{\text{rime}}}(t)) \delta(t - t_{n}^{\text{rime}}) m_{i_{n}^{\text{rime}}}(t).$ Numerical scheme

**The Monte Carlo scheme of SDM (SS et al., 2009)** Time step restriction

 $\Delta t_{\text{collis}}$  is restricted by the mean free time of a (real) particle, i.e., the average time for a particle between two successive coalescence

 $\Delta t_{\text{collis}} < 1/(n_{\text{r}}\overline{K}),$ 

where  $\overline{K}$  is the typical value of the kernel.

# **5.6.** Time Integration of the Moist Air Fluid Dynamics

**Basic eq. (compressible Navier-Stokes eq. for moist air)** Fluid dynamics without the couplings is solved

$$\begin{split} \frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \boldsymbol{U}) &= \left. \frac{\partial \rho}{\partial t} \right|_{\text{own}}, \\ \frac{\partial \rho q_{\text{v}}}{\partial t} + \nabla \cdot (\rho q_{\text{v}} \boldsymbol{U}) &= \left. \frac{\partial \rho q_{\text{v}}}{\partial t} \right|_{\text{cm}} + D_{\text{v}} \nabla^{2} (\rho q_{\text{v}}), \\ \frac{\partial \rho \boldsymbol{U}}{\partial t} + \nabla \cdot (\rho \boldsymbol{U} \otimes \boldsymbol{U}) &= -\nabla P - \rho g \hat{\boldsymbol{z}} + \left. \frac{\partial \rho \boldsymbol{U}}{\partial t} \right|_{\text{cm}} + \mu \nabla^{2} \boldsymbol{U}, \\ \frac{\partial \rho \theta}{\partial t} + \nabla \cdot (\rho \theta \boldsymbol{U}) &= \left. \frac{\partial \rho \theta}{\partial t} \right|_{\text{cm}} + \frac{k}{c_{\text{p}}} \nabla^{2} \theta, \\ P &= \rho RT = P_{0} \left( \left. \frac{\rho \theta R}{P_{0}} \right)^{c_{\text{p}}/(c_{\text{p}} - R)}, \end{split}$$

#### **Numerical schemes**

- For spatial discretization, the 4th-order central difference scheme is used for advection terms and the 2nd-order central difference scheme is used for other spatial derivatives. To preserve the monotonicity, the flux-corrected transport scheme of Zalesak (1979) is used for water vapor advection.
- For time integration, the 3-step Runge–Kutta scheme of Wicker and Skamarock (2002) is used. An artificial, 4thorder hyper-diffusion term is added for stability.
- For more detail, see the SCALE description document

https://scale.riken.jp/doc/index.html

#### **Time step restriction**

 $\Delta t_{\rm dyn}$  must satisfy the CFL condition of acoustic waves.

# 6. Design of Cumulonimbus Simulation (2D)

# **CTRL ensemble**

Sounding	Khain et al., Part I, JAS (2004)		
Aerosol	pure (NH <sub>4</sub> )HSO <sub>4</sub> : 315/cc (3 × vanZanten et al., 2011) mineral dust+(NH <sub>4</sub> )HSO <sub>4</sub> : $d^{dust}=1\mu m$ , 1/cc		
Grid size	$\Delta x = \Delta y = \Delta z = 62.5 \text{m}$		
SP number	128SPs/cell		
Time steps	$(\Delta t, \Delta t_{adv}, \Delta t_{fz/mlt}, \Delta t_{collis}, \Delta t_{cnd/evp}, \Delta t_{dep/sbl}, \Delta t_{dyn})$ =(0.4s, 0.4s, 0.4s, 0.2s, 0.1s, 0.1s, 0.05s)		
SGS Turbulence	None		
Trials	10		

#### **Other ensembles**

- NSP: SP number convergence
- DX: grid convergence
- DT: time step convergence
# 7. Typical Behavior of CTRL ensemble

Results of the typical realization of CTRL is shown

## Hydrometeor categorization for analysis

Partially melted ice is not considered in the present model cloud droplet:  $r < 40 \mu m$ rain droplet:  $r \ge 40 \mu m$ graupel:  $m^{rime}/m > 0.3$ snow aggregate:  $m^{rime}/m \le 0.3$  and  $n^{mono} > 10$ cloud ice: other ice particles

## Time evolution of water path and precipitation

Figs. 2 and 3 of SS et al. (2020)



Solid line: typical representation of CTRL (defined as the member that produced precipitation closest to the mean)
Dark shade: mean ± standard deviation
Pale shade: max and min of the 10 members

white: cloud, yellow: rain, blue: cloud ice, red: graupel/hail, green: snow aggregate

Mixing Ratio of Hydrometeors (T= 00000 s)

16km



white: cloud, yellow: rain, blue: cloud ice, red: graupel/hail, green: snow aggregate

Mixing Ratio of Hydrometeors (T= 02040 s)



white: cloud, yellow: rain, blue: cloud ice, red: graupel/hail, green: snow aggregate

#### Mixing Ratio of Hydrometeors (T= 02460 s)



white: cloud, yellow: rain, blue: cloud ice, red: graupel/hail, green: snow aggregate

#### Mixing Ratio of Hydrometeors (T= 03000 s)



white: cloud, yellow: rain, blue: cloud ice, red: graupel/hail, green: snow aggregate

#### Mixing Ratio of Hydrometeors (T= 04200 s)

16km



white: cloud, yellow: rain, blue: cloud ice, red: graupel/hail, green: snow aggregate



Fig.1 of SS et al. (2020)

### Mass(*M*)- and Velocity(*V*)-Dimension(*D*) relationships



Maximum Dimension *D* log10[m]

Maximum Dimension *D* log10[m]

blue: cloud ice, red: graupel/hail, green: snow aggregate

Movies. 13 and 16 of SS et al. (2020)

#### ... Mass(M)- and Velocity(V)-Dimension(D) relationships

T = 2040s (towering)

Mass-Dimension Distribution (T= 02040 s) (Mass Density log([kg/unit\_log10(max\_D)/unit\_log10(massratio)]) Terminal velocity of ice particles (T= 02040 s) (Mass Density log([kg/unit\_log10(max\_D)/unit\_log10(velocity)]))



Maximum Dimension *D* log10[m]

Maximum Dimension *D* log10[m]

blue: cloud ice, red: graupel/hail, green: snow aggregate

Figs. R2-5 and R2-8 of SS et al. (2020)

#### ... Mass(M)- and Velocity(V)-Dimension(D) relationships

T = 3000s (mature)

Mass-Dimension Distribution (T= 03000 s) (Mass Density log([kg/unit\_log10(max\_D)/unit\_log10(massratio)]) Terminal velocity of ice particles (T= 03000 s) (Mass Density log([kg/unit\_log10(max\_D)/unit\_log10(velocity)]))



Maximum Dimension *D* log10[m]

Maximum Dimension *D* log10[m]

blue: cloud ice, red: graupel/hail, green: snow aggregate

Figs. R2-5 and R2-8 of SS et al. (2020)

### ... Mass(M)- and Velocity(V)-Dimension(D) relationships

T = 5400s (dissipating)

Mass-Dimension Distribution (T= 05400 s) (Mass Density log([kg/unit\_log10(max\_D)/unit\_log10(massratio)]) Terminal velocity of ice particles (T= 05400 s) (Mass Density log([kg/unit\_log10(max\_D)/unit\_log10(velocity)]))



Maximum Dimension *D* log10[m]

Maximum Dimension *D* log10[m]

blue: cloud ice, red: graupel/hail, green: snow aggregate

Figs. R2-5 and R2-8 of SS et al. (2020)

# 8. Numerical Convergence Characteristics

### **SP** number convergence



128 SPs/cell seems to be sufficient. (x30 computational cost than a two-moment bulk model.) 85/90

## **Grid convergence**



Figs. 10 and 11 of SS et al. (2020)

 $\Delta x = \Delta y = \Delta z = 62.5$ m seems to be sufficient.

Could be improved if we use SGS models for dynamics and microphysics.

### **Time step convergence**



Figs. 13 and 14 of SS et al. (2020)

DTx10 diverged due to numerical instability. Even DTx5 would be sufficient, i.e.,  $(\Delta t, \Delta t_{adv}, \Delta t_{fz/mlt}, \Delta t_{collis}, \Delta t_{cnd/evp}, \Delta t_{dep/sbl}, \Delta t_{dyn})$ =(2.0s, 2.0s, 2.0s, 1.0s, 0.5s, 0.5s, 0.05s). 9. Possible Sophistication of the Model

Please see Sec. 9.3 of SS et al. (2020) **Ice nucleation pathways Onset of melting Partially frozen/melted particles Condensation and evaporation Deposition and sublimation** Coalescence Riming Aggregation **Spontaneous/collisional breakup Subgrid-scale turbulence** 

## Conclusions

SDM was applied to mixed-phase clouds Multicomponent bin model of Chen and Lamb (1994) is translated into the particle-based framework. Latest advances in ice phase cloud microphysics are incorporated. 2D LES of a cumulonimbus for performance evaluation Life cycle of a cumulonimbus was successfully reproduced Mass- and velocity-dimension relationships show a reasonable agreement with existing formulas Numerical convergence was achieved at 128 SPs/cell **Our initial evaluation strongly supports the efficacy of** particle-based modeling methodology The model still has a lot of room for improvement

Take away messages

SDM and other particles-based models can connect aerosol to cloud scale seamlessly from the process level More collaboration among lab experiments, field measurement, and cloud modeling is encouraged.



# A. General framework of the SDM

We review the general framework of the SDM. **Introducing it in 2 steps should be helpful:** 1) Conceptual model describing the dynamics of SPs 2) Numerical scheme to solve the dynamics of SPs **Dynamics of real particles** Individual dynamics  $\frac{d\boldsymbol{x}_i}{dt} = \boldsymbol{v}_i, \quad \frac{d\boldsymbol{a}_i}{dt} = \boldsymbol{f}(\boldsymbol{a}_i; \boldsymbol{G}_i), \quad i \in I_r(t).$ Stochastic coalescence, riming, and aggregation

$$P_{jk} = K(\boldsymbol{a}_j, \boldsymbol{a}_k; \boldsymbol{G}) \frac{dt}{\Delta V},$$
  
= probability that particles j and k inside a small region  
 $\Delta V$  will collide and coalesce/rime/aggregate  
in a short time interval  $(t, t + dt).$ 

# **A.1. Dynamics of SPs**

**Individual Dynamics** We consider that SPs obey the same ODEs as RPs  $\frac{d\boldsymbol{x}_i}{dt} = \boldsymbol{v}_i, \quad \frac{d\boldsymbol{a}_i}{dt} = \boldsymbol{f}(\boldsymbol{a}_i; \boldsymbol{G}_i), \quad i \in I_{\mathrm{s}}(t).$ Stochastic coalescence/riming/aggregation We consider that the coalescence/riming/aggregation of SPs is also a stochastic event, and there exists  $P_{jk}^{s} = \text{probability that super-particles } j \text{ and } k \text{ inside}$ a small region  $\Delta V$  will coalesce/rime/aggregate in a short time interval (t, t + dt).

Requiring that SP # won't decrease after coalescence, and that the expected results is consistent with RPs, we can derive  $P_{jk}^{s}$  (Detail follows) 92/90

### **Definition of how a pair of SP coalesce/rime/aggregate**

- Let  $\xi_i$  and  $\xi_k$  be the multiplicity of the two SPs
- Consider that  $\min(\xi_j, \xi_k)$  pairs of real-particles contribute to the coalescence



When  $\xi_j = \xi_k$  we split the remaining SP SP # is almost always conserved though RP # decreases We now adjust the probability to get a consistent results

### **Definition of the coalescence probability of super-particles**

Requiring that the expected number of coalesced RPs becomes the same, we get

$$P_{jk}^{\mathrm{s}} := \max\left(\xi_j, \xi_k\right) P_{jk}.$$

Check: Consider a coalescence between  $\xi_j$  num of RP  $a_j$  and  $\xi_k$  num of RP  $a_k$ . Then, the expected num of coalesced pairs is

$$E_{jk} = \xi_j \xi_k P_{jk}. \quad \leftarrow \text{Real World}$$

Coalescence of SPs  $(\xi_j, a_j)$  and  $(\xi_k, a_k)$  corresponds to a coalescence of min $(\xi_j, \xi_k)$  pairs of RP  $a_j$  and  $a_k$ 

Thus, the expected num of coalesced RP num in the superparticle world becomes

$$E_{jk} = \min(\xi_j, \xi_k) P_{jk}^{s} \leftarrow \text{Super-Particle World}$$
$$= \min(\xi_j, \xi_k) \max(\xi_j, \xi_k) P_{jk}$$
$$= \xi_j \xi_k P_{jk}.$$

## **A.2. Numerical Scheme for the Dynamics of SP**

**Numerical scheme for the individual dynamics of SPs** Individual dynamics is expressed by ODEs:

$$rac{doldsymbol{x}_i}{dt} = oldsymbol{v}_i, \quad rac{doldsymbol{a}_i}{dt} = oldsymbol{f}(oldsymbol{a}_i;oldsymbol{G}_i), \quad i \in I_{
m s}(t).$$

For each super-droplet, we solve the ODEs.

## **Numerical Scheme for the Stochastic Coalescence of SPs**

Stochastic collision-coalescence of real particles

$$P_{jk} = E_{\text{coal}}(r_j, r_k)\pi(r_j + r_k)^2 |v_j^{\infty} - v_k^{\infty}|dt/\Delta V$$

Stochastic collision-coalescence of super-particles

 $P_{jk}^{\rm s} = \max\left(\xi_j, \xi_k\right) P_{jk}.$ 

Numerical scheme

2 techniques here (Detail follows) We developed a DSMC-like Monte Carlo scheme for the stochastic coalescence of SPs (SS et al., 2009)

- 1. Make a list of SPs in each cell.  $(O(N_s) \text{ cost})$  (The space is divided by a grid.)
- 2. In each cell, create candidate pairs randomly
- 3. For each candidate pair, draw a random number and judge whether the coalescence occurs or not.

Trick A) Pair num reduction and correction to the probability

Let  $N_s$ ' be the num of SPs in a cell.

Instead of checking all the pairs  $_{Ns'}C_2$  honestly, we reduce the num of candidate pairs to  $[N_s'/2]$ ; Making a random permutation of SP indices and paring from the front, we create a non-overlapping pairs ( $O(N_s')$  cost)

E.g.,  $(1,2,3,4,5,6,7) \rightarrow (2,4),(3,5),(7,6),1$ 

With this trick the cost reduces from  $O(N_s^2)$  to  $O(N_s^2)$ 

In compensation, we scale up the probability of each pair

$$p_i := P_{j_i k_i}^{(s)} \frac{N'_s(N'_s - 1)}{2} / \left[\frac{N'_s}{2}\right], \quad i = 1, 2, ..., \left[\frac{N'_s}{2}\right].$$

This assures the consistency of expectation value

$$E[N_{coal}] = \sum_{j=1}^{N's} \sum_{k=1}^{N's} \frac{1}{2} \min(\xi_j, \xi_k) P_{jk}^{(s)} = E\left[\sum_{i=1}^{[N's/2]} \min(\xi_{ji}, \xi_{ki}) p_i\right].$$

### **Trick B) Handling of Multiple Coalescence**

Strictly speaking,  $p_i > 1$  is not allowed, but we accept it. Let *Ran* be a (0,1) uniform random number, and

$$q = \begin{cases} [p_i] + 1 \text{ if } Ran \langle p_i - [p_i] \\ \\ [p_i] \text{ if } Ran \geq p_i - [p_i] \end{cases}$$

We consider that coalescence occurs q times

### **Time step restriction**

- $\Delta t_{\text{collis}}$  is restricted by the mean free time of a (real) particle, i.e., the average time for a particle between two successive coalescence
  - Let  $\overline{P}$  be the typical probability that a particle coalescence with another particle within a small time interval  $\Delta t_{\text{collis}}$  $\overline{P}$  can be evaluated as

 $\overline{P} \approx N_{\rm r}' \overline{K} \Delta t_{\rm collis} / \Delta V \approx n_{\rm r} \overline{K} \Delta t_{\rm collis},$ 

where  $N'_r$  is the number of real particles in  $\Delta V$ ,  $\overline{K}$  is the typical value of the kernel, and  $n_r$  is the number concentration of real droplets.

Requiring that  $\overline{P} < 1$  has to be satisfied, we obtain  $\Delta t_{\text{collis}} < 1/(n_{\text{r}}\overline{K})$ .

### ... Time step restriction

Let  $\overline{P}_{s}$  be the typical probability that a collision candidate superparticle pair coalescence after the pair number reduction technique is applied.

Then, we can derive  $\overline{P_s} \approx \overline{P}$ .

This also supports the criteria  $\Delta t_{\text{collis}} < 1/(n_{\text{r}}\overline{K}).$